

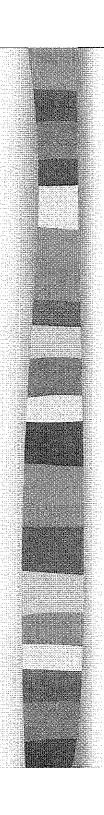
More on Political Campaigns

Exception - does not apply to activities that are a part of the normal and regular conduct of the agency

 For example, providing research information, facts, or data if it is part of the agency's regular mission to do so

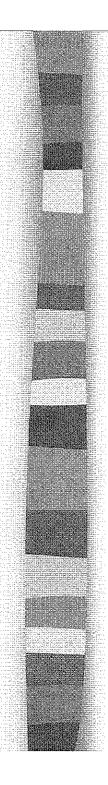
Post-state Employment

- The 1 year restriction: Cannot accept employment or compensation from an employer within 1 year of leaving board service if:
 - During the previous 2 years, the officer negotiated or administered a contract with the new employer
 - Contract(s) value in excess of \$10,000
 - Duties with new employer would include fulfilling or implementing that contract



More on Post-state Employment

- The 2 year restriction
 - Within 2 years after leaving board service a former state officer may not have a beneficial interest in a contract or grant expressly authorized or funded by executive action in which the officer or employee participated
 - "Beneficial interest" is the right to enjoy profit, benefit or advantage from a contract or other property. For example, a husband and wife each have a beneficial interest in the other spouse's community property



Yet More on Employment

- Permanent restriction
 - State officer may not accept employment as a reward or compensation for the performance or nonperformance of his or her official duties as a state officer

Assisting in Transactions Involving the State

- General rule a state officer may not assist a person in a transaction involving the state
 - If the officer participated in that transaction
 - If it was under his or her official responsibility within two years prior to providing the assistance
 - Same restrictions apply to affiliated business entities, and, to some extent, to former state officers

More on Assisting in Transactions Involving the State

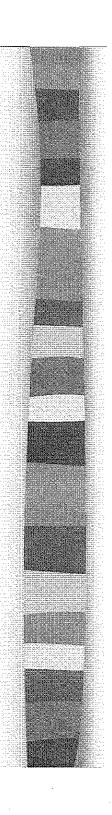
Exception

 A state officer is not prohibited from assisting a person in a transaction involving the state if it falls within the officer's official duties

Financial Interest in State Transactions

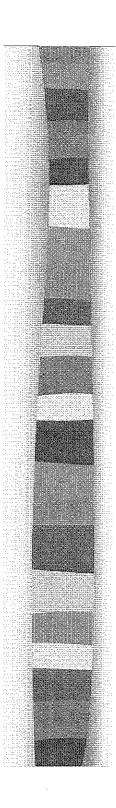
General rules

- State officer may not have a beneficial interest in a contract that is made by or through him or her or is under his or her supervision
- State officer may not accept any compensation from any other person beneficially interested in a contract that is made by or through him or her or is under his or her supervision



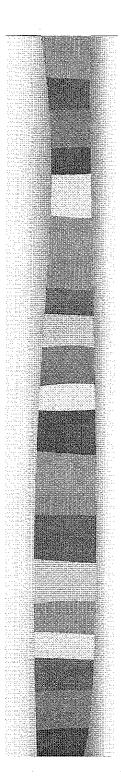
More on Financial Interest in State Transactions

- State officer/employee may not participate in a transaction involving the state in his or her official capacity with a "person"
 - Of which the officer or employee is an officer, agent, employee, or member,
 - Or in which the officer or employee owns a beneficial interest



Investments

- For boards responsible for investment of funds
 - Restrictions apply and the board must adopt policies governing approval of investments
- LEOFF 2 Board consults on investment of expense fund, but does not make investment decisions



Enforcement

- By the executive ethics board
 - Staffed by the attorney general's office
 - Sanctions include
 - Damages sustained by the state
 - Civil penalties of up to \$5,000 per violation or 3 times any thing received or sought in the violation
 - Costs
- Compliance is YOUR responsibility

For More Information

Visit the executive ethics board web site at www.wa.gov/ethics